

| | | |
|---|---|---|
| <div style="display: flex; justify-content: space-between;"> <div style="text-align: center;"> UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small> </div> <div style="border: 1px solid black; padding: 2px;"> Attorney Docket No. 990852A </div> <div style="border: 1px solid black; padding: 2px;"> Total Pages </div> </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> First Named Inventor or Application Identifier </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> Nobuhiko HAYASHI Takashi KANO </div> <div style="border: 1px solid black; padding: 2px; margin-top: 5px;"> Express Mail Label No. </div> | <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>Check Box, if applicable <input type="checkbox"/> Duplicate</p> </div> <div style="width: 50%; text-align: center;"> 09/19/99 US PTO 09/19/99 </div> </div> | |
| | <p>APPLICATION ELEMENTS FOR: Semiconductor Device and Method of Fabricating the Same and Method of Forming Nitride Based Semiconductor Layer</p> | <p>ADDRESS TO: Commissioner for Patents BOX PATENT APPLICATIONS Washington, D.C. 20231</p> |
| | <div style="display: flex;"> <div style="writing-mode: vertical-rl; transform: rotate(180deg); font-weight: bold; margin-right: 10px;"> 09/19/99 US PTO 09/19/99 </div> <div> <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Incorporated within this form) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification, Claims, Abstract Total Pages [54]</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) Total Sheets [15]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration Total Pages [2]</p> <p>5. <input type="checkbox"/> Newly executed (original)</p> <p>6. <input checked="" type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed).</p> <p>7. <input type="checkbox"/> Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>8. <input checked="" type="checkbox"/> Incorporation by reference (useable if box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>9. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>11. <input type="checkbox"/> Computer Readable Copy</p> <p>12. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>13. <input type="checkbox"/> Statement Verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>14. <input type="checkbox"/> Assignment to SANYO ELECTRIC CO., LTD., Osaka-fu, Japan in the prior Application Serial No. 09/361,246 filed on July 27, 1999 has been recorded at Reel 010137, Frame 0704 on July 27, 1999. Please publish the assignee data with the application.</p> <p>15. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney</p> </div> </div> | |

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No. 990852A

First Named Inventor or Application Identifier

Nobuhiko HAYASHI
Takashi KANO

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement with PTO-Form 1449 ☐ Copies of IDS Citations

☐ Preliminary Amendment

☒ Return Receipt Postcard (MPEP 503)

☐ Small Entity Status is claimed.

☒ Claim for Convention Priority ☐ Certified copy(ies) of Priority Document(s)

a. Priority of Japanese application no. 10-213970 filed on July 29, 1998 is claimed under 35 USC 119.

The certified copy has been filed in prior application Serial No. 09/361,246.

(For Continuing Applications, if applicable).

b. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☒ Division ☐ Continuation-in-part (CIP) of prior application no. ____/____

a. ☒ Please amend the specification by inserting after the title: -- This application is a division of prior application serial no. 09/361,246 filed July 27, 1999- --.

b. ☒ Cancel in this application original claims 4-11, 18-21 of the prior application before calculating the filing fee.

FEE TRANSMITTAL

The filing fee is calculated below

| | Number Filed | Number Extra | Rate | Basic Fee |
|---------------------------------------|--------------|--------------|-----------|-----------|
| Total Claims | 9 - 20 | 0 | x \$18.00 | \$710.00 |
| Independent Claims | 3 - 3 | 0 | x \$80.00 | |
| Multiple Dependent Claims | | | \$270.00 | |
| Basic Filing Fee | | | | |
| Reduction by 1/2 for small entity | | | | |
| Fee for recording enclosed Assignment | | | \$40.00 | |
| TOTAL | | | | \$710.00 |

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

990852A

First Named Inventor or Application Identifier

Nobuhiko HAYASHI
Takashi KANO

PAGE 3 OF 3

[XX] A check in the amount of \$ 710.00 is enclosed to cover the filing fee.

[] Please charge our Deposit Account No. 01-2340 in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

[XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 01-2340. A duplicate of this sheet is attached.

CORRESPONDENCE ADDRESS:



23850

PATENT TRADEMARK OFFICE

SUBMITTED BY

Typed or Printed Name: Stephen G. Adrian

Reg. No. 32,878

Signature

Date: September 19, 2001

SGA/meu

ARMSTRONG, WESTERMAN, HATTORI,
McLELAND & NAUGHTON, LLP
Suite 1000, 1725 K Street, N.W.
Washington, D. C. 20006
Tel: (202) 659-2930
Fax: (202) 887-0357

Q:\FLOATERS\SGA\011204 DIV.wpd